

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert K. Rowe et al.

Serial No.: 09/832,534

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 2621

For: APPARATUS AND METHOD OF BIOMETRIC IDENTIFICATION OR
VERIFICATION OF INDIVIDUALS USING OPTICAL SPECTROSCOPY

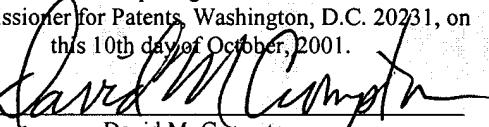
Docket No.: 1023.1110102

RECEIVED,
OCT 17 2001
Group 2100

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 10th day of October, 2001.

By


David M. Crompton

Dear Sir:

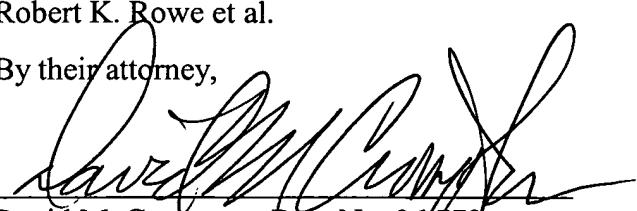
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,


David M. Crompton, Reg. No. 36772
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401-2246
Telephone: (612) 677-9050
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Dated: 10/10/01



2621 #6
BT
11-13-01

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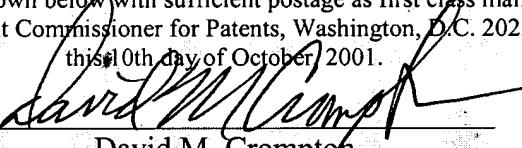
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TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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By 
David M. Crompton

We are transmitting herewith the attached:

Amendment

No additional fee required
 The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3) REMAINING CLAIMS	(4) HIGHEST PAID	(5) EXTRA	SMALL ENTITY		OTHER	
				RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

A check in the amount of \$_____ is enclosed.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[XX] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to
Deposit Account No. 50-0413. *[Handwritten signatures]*

By: David M. Crompton

David M. Crompton

THE BOSTONIAN

Reg. No. 36,772

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